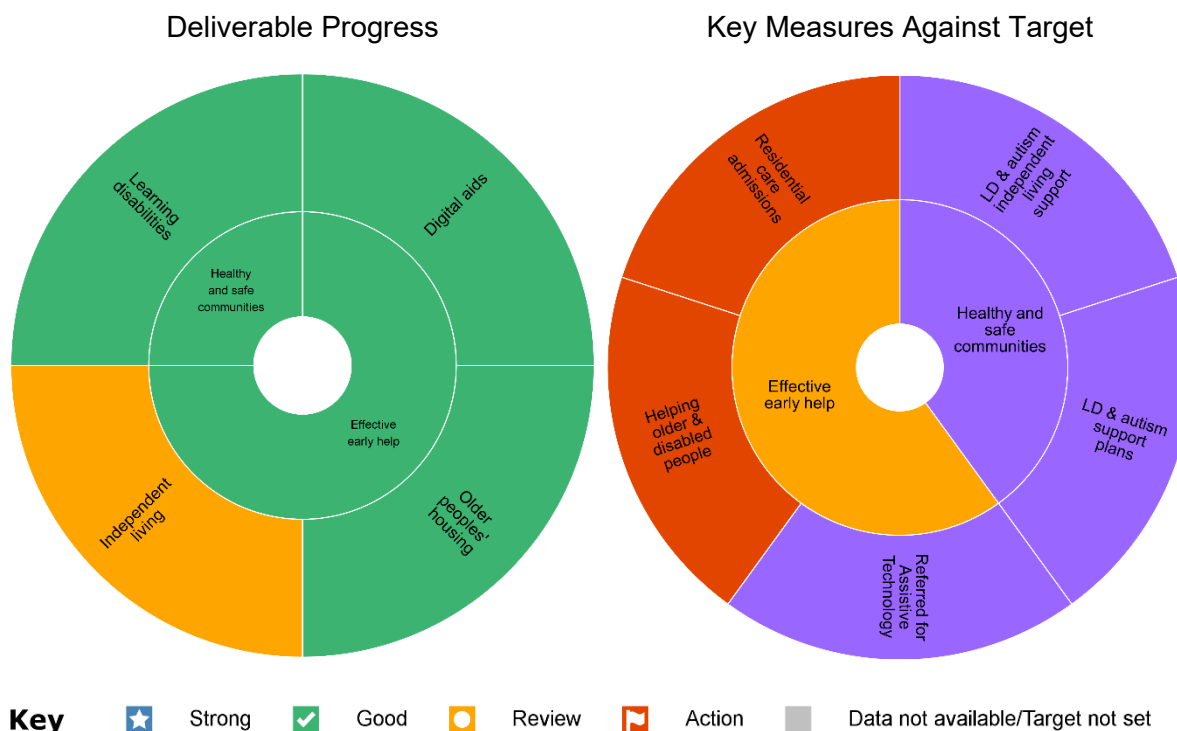


Appendix 4

Adult Care - Portfolio Summary



Progress is "good" for the majority of the Council Plan deliverables led by the portfolio.

Key areas of success are:

- ✓ **Provide local people with access to a wider choice of digital aids and technologies, to enable them to feel safe and independent in their own home (rated Good)**

The service has successfully supported 300 people to access assistive technology to live safely in their home. This is substantially above the original target of 150 people.

Key areas for consideration are:

- ◻ **Finalise the new ways of working with older people and people with a disability to increase their independence so that they remain part of their local communities (requires Review)**

Issue: Progress is being delayed by a shortage of homecare to support people to be independent in their own homes. This is a national issue and not unique to Derbyshire. **Response:** Formal consultation for the redesign of the Homecare Short Term Service has now concluded and the Council is in the process of finalising the outcome report.

Appendix 4

There is a forecast overspend of £7.226m on the portfolio after the use of £3.901m of departmental reserves and £22.830m from the Corporate Contingency budget for inflationary pressures. The main variances are:

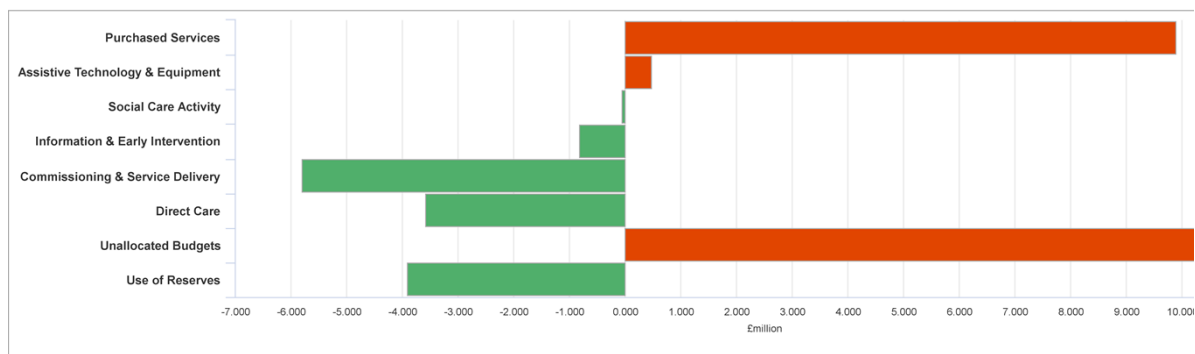
- Purchased Services, £9.882m overspend - There has been an increase in hospital discharges and because there is an insufficient supply of home care and reablement services, this has driven increased placements into residential homes rather than into care at home. As a result, expenditure on independent sector placements has increased.
- ✓ Social Care Activity, £0.056m underspend - Underspend on staff pay and travel due to vacancies in the social work team.
- ✓ Information and Early Intervention, £0.812m underspend - Reduced activity and costs for alarm monitoring, housing related support and for some voluntary sector contracts.
- ✓ Direct Care, £3.589m underspend – £3.7m underspend on the Direct Care home care service due to recruitment difficulties, £1.1m underspend on Direct Care day centres due to reduced usage and re-provision, £1.9m overspend on care homes for older people and Community Care Centres stemming from the increased care needs of residents and £0.9m underspend across other Direct Care units.
- ✓ Commissioning and Service Delivery, £5.808m underspend - £0.6m underspend in respect of vacancies in the Business Services team and £0.5m underspend in respect of vacancies in the Commissioning, Contracts Team and Transformation Teams. Also, £4.5m of grant income received funding for Market Sustainability, which is offsetting increased fees paid out through Purchased Services.
- Unallocated budgets, £11.037m overspend - Includes £8m of budget savings targets which have not yet been allocated to service lines and £5m of estimated additional demand driven costs expected to arise based on current trends. These are offset by £2m of budget allocated to the portfolio for service pressures which is not anticipated to be required.
- Assistive Technology, £0.473m overspend - Projected overspend on disability equipment and telecare.

Forecast Outturn against Target Budget



Appendix 4

Forecast Under (-)/Over Spend by Service Line



The budget savings target for 2023-24 is £12.139m, with a further £3.009m target brought forward from previous years. £8.420m of the in-year savings target of £12.139 will be achieved. Action is being taken to ensure that the savings brought forward from previous years will be achieved before the end of the financial year.

Additional funding has been provided in the 2023-24 budget for the main growth items:

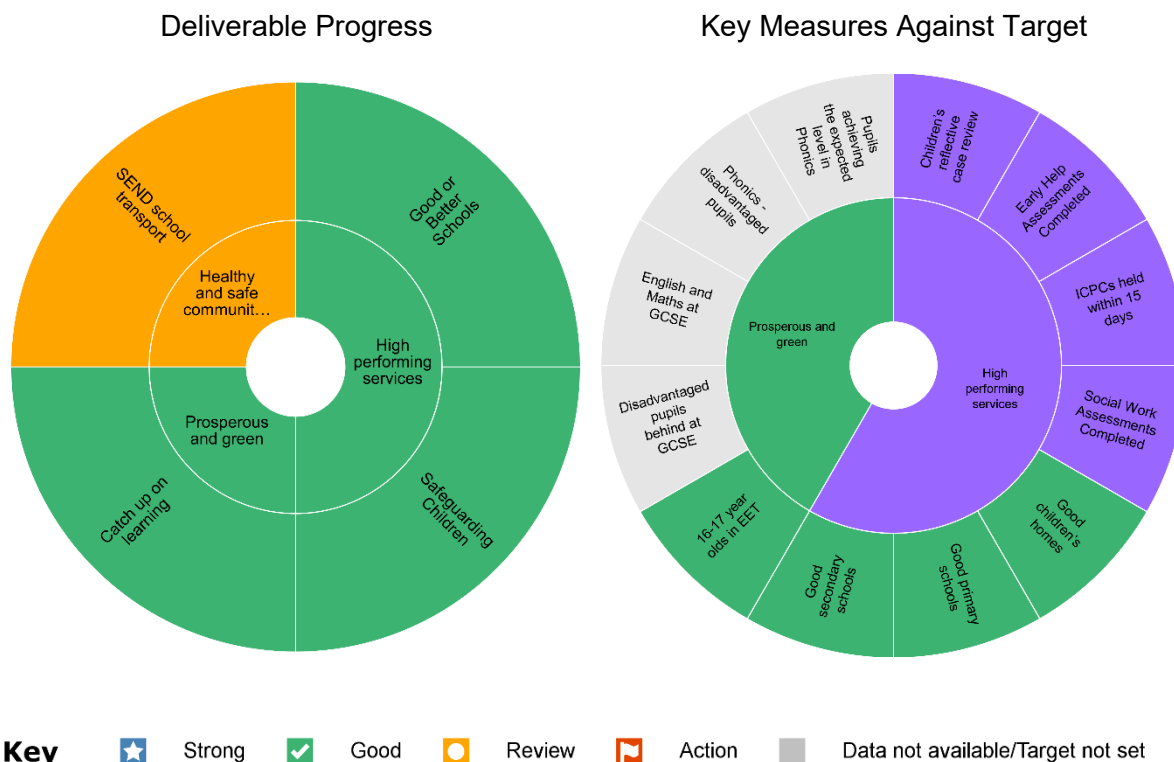
- Demographic Growth - £5.711m ongoing - Reflects expected increases in customer numbers and impact on package spend and social work team time. Also included is base budget to replace the LD and Autism Grant.
- Hospital Discharge pressure - £5.000m ongoing - Additional out of hospital spend, new hospital discharge protocol.
- Former Independent Living Fund (ILF) Grant - £2.534m ongoing - Grant now included in base budget.
- Invest to Save - £1.175m ongoing - Reducing pressure to provide resources to support transformation projects and efficiencies.
- Mosaic hosting costs - £0.125m ongoing - Cost of external hosting of Mosaic system.
- Social Care Reform - £0.300m one-off - Funding to support preparation for external inspection.
- Derbyshire Discretionary Fund - £2.006m one-off – Financial assistance scheme for residents of Derbyshire who meet eligibility criteria. The extension of the Household Support Fund into 2023-24 means this pressure is no longer required and is intended to be returned to the Risk Management budget.

Appendix 4

- Inflation on PVI Contract Fees - £22.830m ongoing - held in the inflation contingency for price rises reflecting the April 2023 National Living Wage uplift and CPI.
- Inflation on transport and catering supplies - £0.673m one-off – held in the inflation contingency for transport and catering contracts in directly provided care settings.

Appendix 5

Children's Services and Safeguarding and Education - Portfolio Summary



Progress is "good" for the majority of the Council Plan deliverables led by the portfolio.

Key areas of success are:

- ✓ **Provide consistent, high quality early help and safeguarding services for children and families across Derbyshire (rated Good)**

A range of evidence from the Council's quality assurance and performance framework shows consistency of approach and strong practice across the children's social care and early help workforce.

- ✓ **Work with schools so that the percentage of children and young people attending good or better schools improves at a faster rate than nationally (rated Good)**

Whilst the proportion of Derbyshire pupils attending good or better schools remains lower than national figures, particularly at secondary level, the Council has seen improvement at a faster rate in Derbyshire than seen nationally so far this academic year.

- ✓ **Work with schools and other education providers to implement new strategies and support, to enable children and young people to**

Appendix 5

achieve their educational potential and begin to catch up on learning they have missed due to COVID-19 restrictions (rated Good)

The work of the Education Improvement Service with partners to enable pupils to catch up on learning has been acknowledged in the most recent report by the Education Endowment Foundation. Over 150 schools from key stage 1 to key stage 3 are involved in this partnership working with the Derby Research School as well as other partners such as the English and Maths Hubs.

The percentage of 16 to 17 year olds in education, employment or training maintains Derbyshire within the top quartile nationally.


Key areas for consideration are:

Review how the Council delivers home to school transport for children with special educational needs ensuring the most effective use of resources (requires Review)

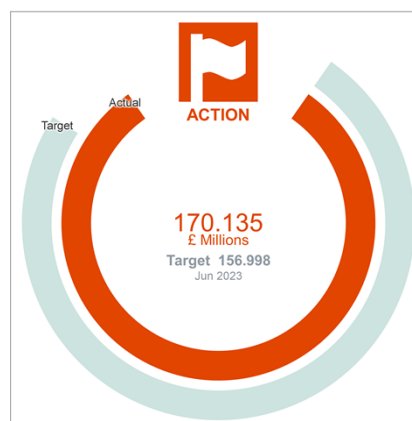
Issue: This is a complex area with some significant risks particularly in terms of the required data and intelligence currently available to assess our statutory responsibilities and to support decisions to be able to deliver these in an efficient manner. **Response:** A 12 month plan is in place. A number of actions have already been completed and future actions have been agreed.

There is a forecast overspend of £13.137m on the portfolio after the allocation of £7.803m from the Corporate Contingency budget for inflationary pressures.







The main variances are:

 **Placements for Children in Care/unable to remain at home, £9.658m overspend -** Expenditure on placements for children in care or alternatives to care is continuing to rise due to an increase in the number and cost of placements. The number of children requiring support is growing because the rate at which children enter care is greater than the rate at which children exit care and because alternatives to care often require long-term financial support leading to a growing number of arrangements to fund. Average cost increases are due to inflation and the need to make more higher cost placements with independent providers, exacerbated by a shortage of foster care places. A draw down of £5.542m from the inflation contingency is assumed.

Forecast Outturn against Target Budget

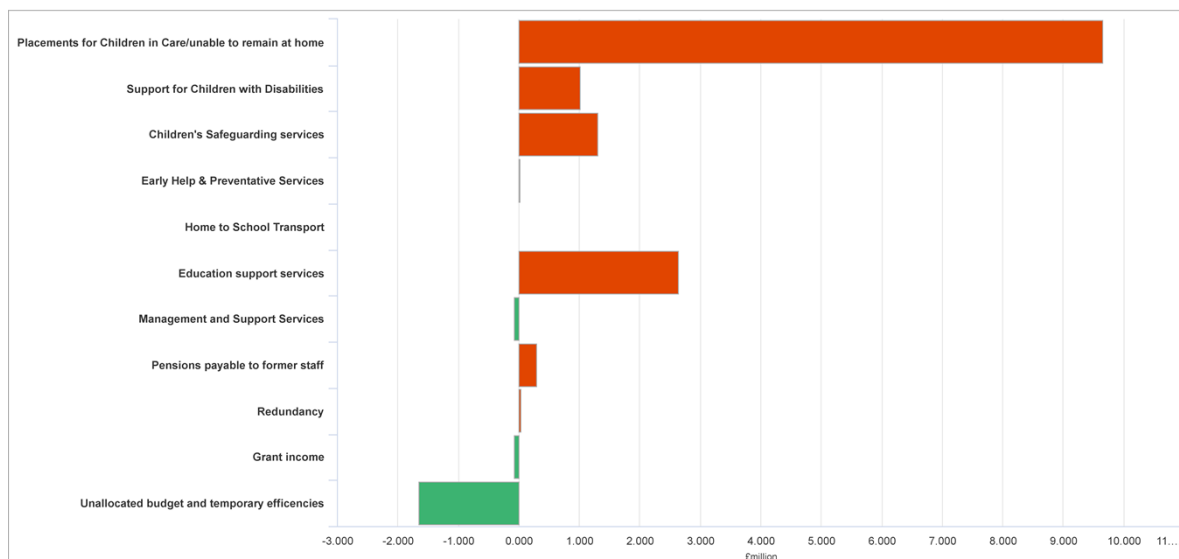


Appendix 5

-  Support for Children with Disabilities, £1.012m overspend - Primarily due to the need to provide high cost packages to support children with complex needs to remain with their families or maintain their current placement. A draw down of £0.200m from the inflation contingency is assumed.
-  Children's Safeguarding services, £1.305m overspend - Increasing expenditure on children in care support and the Quality Assurance and Child Protection teams due to demand pressures from greater numbers of children in care and children in need. A draw down of £0.250m from the inflation contingency is assumed.
-  Home to School Transport, £0.000m breakeven - Projected spend exceeds current allocated budget due to an increase in the number of children eligible for Council funded transport and an increase in average costs. The increase in cost is due to both economic factors affecting contractors and an increased need for more specialised vehicles to transport individual children. Further cost increases are anticipated once the new academic year commences in September. A draw down of £1.811m from the inflation contingency is assumed to meet the existing overspend and expected cost increase.
-  Education support services, £2.631m overspend - The estimated impact of pay awards and inflation on traded and grant funded services and the costs of meeting the increased demand for Education, Health and Care plans (EHCPs).
-  Pensions payable to former staff, £0.287m overspend - Enhanced pension obligations payable to teachers and other staff previously employed by the Council. The majority of the costs relates to staff who left under efficiency programmes during the early 1990s.
-  Unallocated budget, £1.655m underspend - Amounts which have not yet been allocated to services. This includes in-year grant funding of which the largest item is the allocation of £0.771m from the Dedicated Schools Grant contribution towards funding Early Help & Safeguarding, this reduces by 20% each year in line with school funding regulations. These may not be available on an ongoing basis so are used to mitigate against current levels of spend.

Appendix 5

Forecast Under (-)/Over Spend by Service Line



The budget savings target for 2023-24 is £0.500m, which is expected to be achieved.

Additional funding has been provided in the 2023-24 budget for the main growth items:

- Home to School Transport - £1.084m one-off - The allocation recognises the greater numbers of children and young people with SEN and increased cost of journeys.
- Elective Home Education - £0.360m one-off - Over the last year nationally and locally there has been a large increase in the number of Electively Home Educated (EHE) children. Funding to extend the EHE team to meet statutory functions to manage applications, determine whether there are any safeguarding risks and assess whether their education is suitable.
- Social Workers - £0.400m one-off - To fully fund the frontline social work structure and the market supplement, without the need to hold a level of vacancies which would be counter-productive in meeting the statutory demands to help, protect and care for children in Derbyshire. The market supplement payment for social workers in frontline children's social work teams was introduced in July 2019 to support the Council's recruitment strategy.
- Inflation on Home to School Transport - £1.811m ongoing - held in the inflation contingency for further increases in transport costs. It is anticipated this will be needed to be drawn down in full.
- Inflation on Children's Social Care - £2.092m ongoing - held in the inflation contingency for uplifts on carers' allowances, placement fees

Appendix 5

and other support services. It is anticipated this will be needed to be drawn down in full as well as additional in-year one-off support.

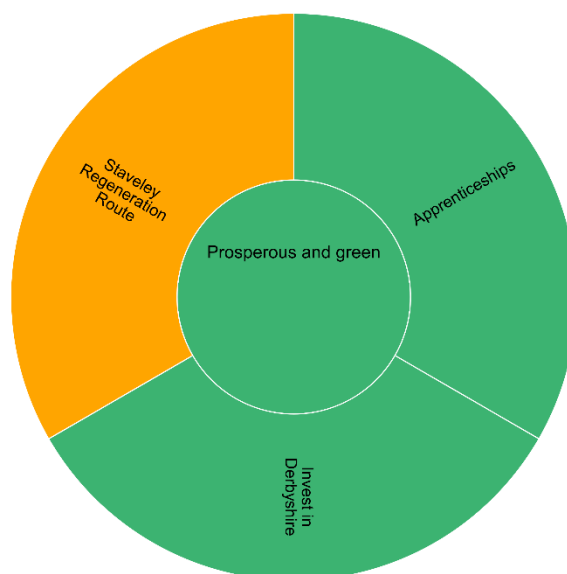
Other significant additional items of funding that the portfolio is expected to receive or has received since the budget was set are:

- Dedicated Schools Grant (DSG) contribution to costs - £0.711m one-off – support for Early Help services.
- Holiday Activities and Food Programme and Household Support - £2.900m one-off – grant to support families and vulnerable households.

Appendix 6

Clean Growth and Regeneration - Portfolio Summary

Deliverable Progress



Key ★ Strong ✓ Good ◯ Review 📧 Action ■ Data not available/Target not set

Progress is "good" for the majority of the Council Plan deliverables led by the portfolio.

Key areas of success are:

- ✓ **Continue to work with Derbyshire businesses to support the creation of apprenticeship opportunities in key economic sectors, connecting people to local job opportunities.**

Performance in the quarter is on track in terms of number of apprenticeships and business supported. The apprenticeships supported this quarter have been in the retail, hospitality, engineering and childcare sectors. To date this year £0.110m of the apprenticeship levy has been transferred to businesses. The cost of each apprenticeship differs in terms of type of course and the age of the apprentice and so no target has been provided for this under-pinning performance measure.

- ✓ **Refresh and implement our approach to increasing levels of inward investment into the county.**

As part of strengthening the Council's approach to increasing levels of inward investment, the team continues promoting Derbyshire's rich offer and investment opportunities through the Invest in Derbyshire website, social media platforms, marketing collateral, exhibiting and presence at the investment summits.

Appendix 6

"Invest in D2N2" a European Regional Development Fund funded project for Derby, Derbyshire, Nottingham and Nottinghamshire which ended in May 2023, has seen a successful achievement of output targets. All Derby and Derbyshire partners have scored 'green' in the RAG rating scale, exceeding 85% performance level.

A number of significant projects are currently being supported in order to secure new investment and jobs for Derbyshire. The enquiries received in Quarter 1 showed demand for a range of locations and sizes within the manufacturing, engineering, green technology as well as in the residential and development sectors.

Key areas for consideration are:

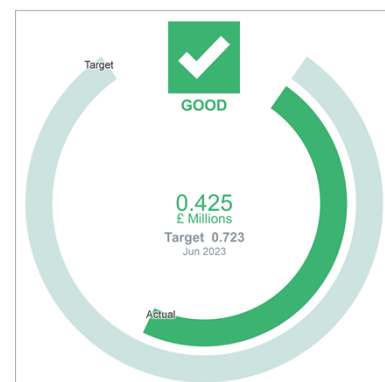
- **Submit the planning application and continue to progress proposals for the Chesterfield to Staveley Regeneration Route, which will create new homes and jobs for the area (requires Review)**

Issue: Proposed public engagement is currently paused pending Government approval of the Outline Business Case. **Response:** Active engagement with Government over the Outline Business Case is ongoing, with a full response to clarification questions submitted on 14 July 2023. Response to the submission on 14 July 2023 is awaited.

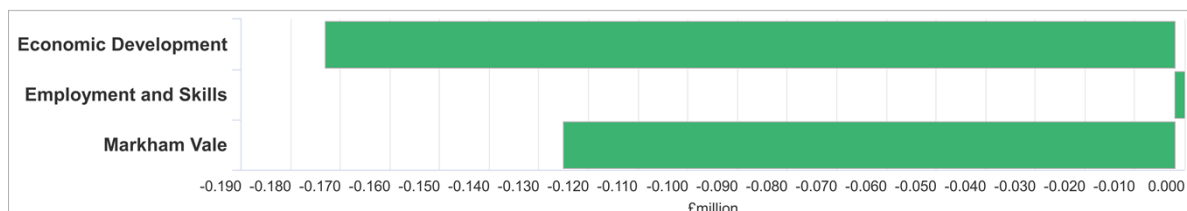
There is a forecast underspend of £0.298m on the portfolio. The main variances are:

- ✓ Economic Development, £0.173m underspend - Due to staff vacancies, held to meet a restructure planned for 2023-24.
- ✓ Markham Vale, £0.125m underspend - Due to vacancies to assist with the achievement of savings targets.

Forecast Outturn against Target Budget

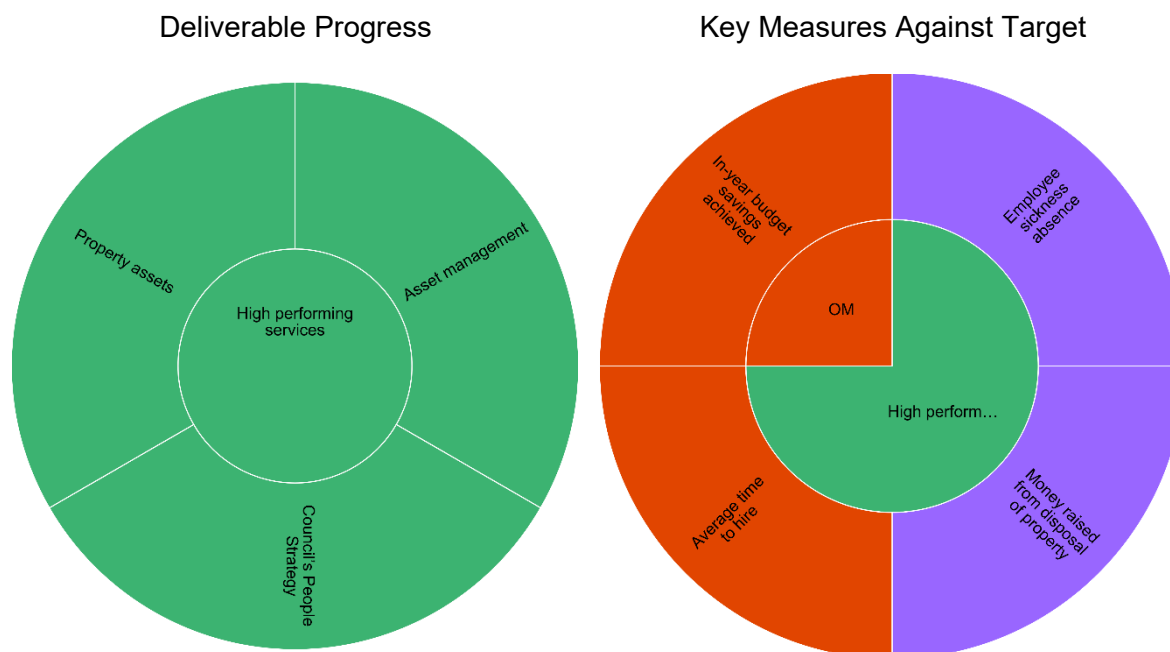


Forecast Under (-)/Over Spend by Service Line



Appendix 7

Corporate Services and Budget - Portfolio Summary



Key ★ Strong ✓ Good ● Review 📌 Action ■ Data not available/Target not set

Progress is "good" for all of the Council Plan deliverables led by the portfolio.

Key areas of success are:

✓ **Embed the Council's approved People Strategy to deliver the Council's people ambition and the people priorities (rated Good)**

Departmental deliverable plans are in place for each of the five people priorities within the strategy. New workforce policies have been developed and introduced with existing policies reviewed and updated. Quarter 1 figures for sickness absence are showing improvements against the previous year.

✓ **Implement the Asset Management Strategy and Property 2025 programme, reviewing and rationalising our land and building assets and ensuring an effective plan is in place for the management of those we retain (rated Good)**

Good progress has been made in support of the strategy with the Estates Strategy, Disposals Protocol, Facilities Management Strategy, Repairs and Maintenance Strategy ready for presenting to cabinet for approval, a total of 394 asset plans have now been completed and £1.915m of capital receipts were achieved in Quarter 1 against the target for the year of £4m.

✓ **Centralise all land and property assets, to ensure a consistent strategic approach to property decisions and building management**

Appendix 7

and a single point of accountability for budgets and costs (rated Good)

The preparations to ensure successful implementation of centralised assets and the automated flow of data has progressed including key actions of defining roles and responsibilities, identifying the resources required and establishing appropriate levels of service to meet customer requirements.

Key areas for consideration are:


Average days between a job vacancy shortlisting and contract offer (Council, not including schools) (requires Action)


Issue: The year to date average Time to Hire figure of 62 days is above the target for the year end of 50 days. **Action:** Adjustments to the Recruit system will be taking effect over the next 2 months which should reduce some onboarding processes. Additionally, work on the variation to contract process improvement project will be implemented from November 2023.


Projected achievement of in-year budget savings (requires Action)

Issue: Of the in-year savings target of £16.190m, £10.317m is forecast to be achieved. **Action:** The Council is reviewing all of its savings initiatives and developing a programme of savings proposals to address the estimated funding gap over the medium term. Where savings have not been achieved in previous years and brought forward into the current financial year, mitigations and alternative savings delivery is being considered.

There is a forecast overspend of £4.237m on the portfolio. The main variances are:

 Corporate, £0.347m overspend – A brought forward savings target for Channel Shift held centrally.

 Finance & ICT, £0.444m overspend – Due to a £0.779m overspend relating to the SAP system arising from an unachievable savings target of £0.670m that was originally proposed the new SAP Hana system would achieve through process savings throughout the authority. Partially offset by an underspend in the ICT section of £0.348m due to the number of vacancies. This underspend allows for the current costs of consultants and agency staff working in the section.

 Legal services, £0.228m underspend - Mainly due to vacancies in Members and Management Services and the Business Centre and the reallocation of resources at different grades. Also, due to increased

Forecast Outturn against Target Budget



Appendix 7

recharge income arising from a sustained increase in usage volumes, especially use of postal services. The new Multi-Functional Device contract went live in July and charges for printing usage will be monitored closely in order to recover all relevant costs.

- ✔ Human Resources, £0.690m underspend - Vacancies of £0.300m and increased income generated by HR Operations for schools of £0.219m.
- ✔ Transformation & Strategy, £0.449m underspend - Underspends in Project and Programme Management of £0.358m due to vacancies and Strategy and Policy of £0.526 primarily due to vacancies which are proving difficult to recruit to. These are offset by overspends on Modern Ways of Working and County Hall budgets of £0.147m due to insufficient funding and Channel Shift of £0.263m due to funding being withdrawn but commitments continuing to March 2024.
- ✘ Corporate Property, £4.376m overspend - Delays in achieving historic savings targets in the Corporate Property Division (£1.252m overspend). The inflationary impact is forecast to be £0.323m.

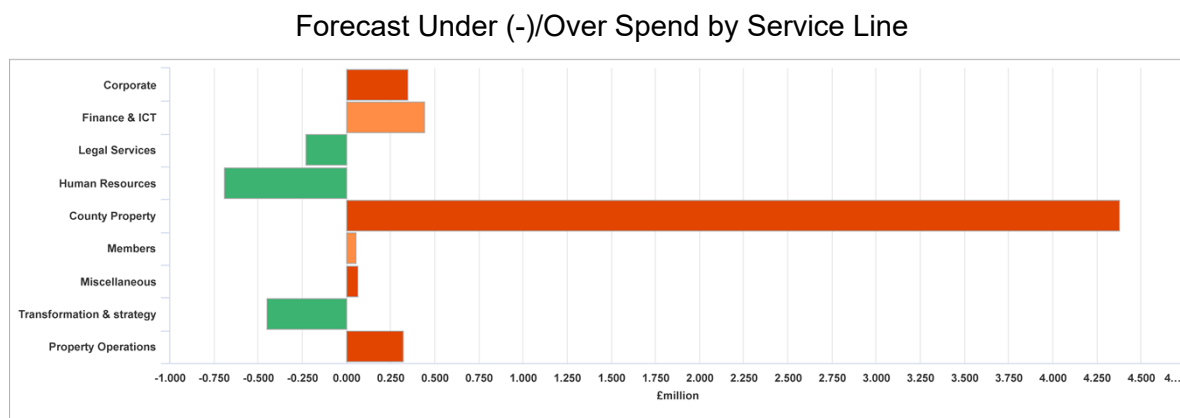
An overspend on Facilities Management of £0.692m relating to Cleaning and Caretaking due to relinquishing budget in 2022-23 for savings anticipated from site rationalisation and a 9% price increase in the contract with Vertas due to rises in the National Living Wage and Consumer Price Index (CPI). The Inflationary impact is forecast to be £0.281m.

The cost of carrying properties awaiting disposal or repurpose and high inflation affecting utilities, security, rates and maintenance costs is exacerbating this pressure. The inflationary impact forecast to be £1.481m.

Additional impacts of delays in meeting in-year budget savings and pressures from inflation total £1.682m.

- ✘ Property Operations, £0.321m overspend - A deficit on the DSO due to externalisation of work to Vertas without a corresponding decrease in fixed costs which has led to a sustained overhead under-recovery. Contract income from Staffordshire Moorlands and High Peak with a value of around £0.800m has ceased. Also, more vacancies are being held due to the Construction and Maintenance review resulting in fewer productive hours and therefore lower overhead recovery. The earmarked reserve available to the DSO has now been fully depleted and consequently there is no funding for training, depot repairs and maintenance, early retirement costs or equipment replacement.

Appendix 7



The budget savings target for 2023-24 is £0.625m, with a further £2.078m target brought forward from previous years. £0.125m of the in-year savings target of £0.625 will be achieved. Action is being taken to ensure that the savings brought forward from previous years will be achieved before the end of the financial year.

Additional funding has been provided in the 2023-24 budget for the main growth items:

- Leadership Development Programme - £0.300m one-off - To enable the second year of the Council's leadership development programme.
- Corporate Property - Disposal Professional Fees - £0.446m one-off - To instruct property agents and solicitors required to deliver capital receipts for the forecasted five-year Disposals Programme.
- Corporate Property - Demolition Budget - £0.550m one-off - To replenish the Corporate Revenue Demolition Budget which is already fully committed for this financial year.
- Corporate Property - Asset Valuations and Fees - £0.324m one-off - Additional fees required to meet the statutory requirement to value the Council's Property Asset base including the newly introduced IFRS 16 valuations.
- Corporate Property - Commercial Appraisal Officers - £0.229m one-off - Development Appraisal Team required to accelerate asset appraisals.
- Corporate Property - Carbon reduction for Corporate Buildings - £0.400m one-off - To support the carbon reduction programme for corporate buildings.
- Corporate Property - CCTV Installations / Rationalisation - £0.179m one-off - To implement outcomes of CCTV Governance investigations in

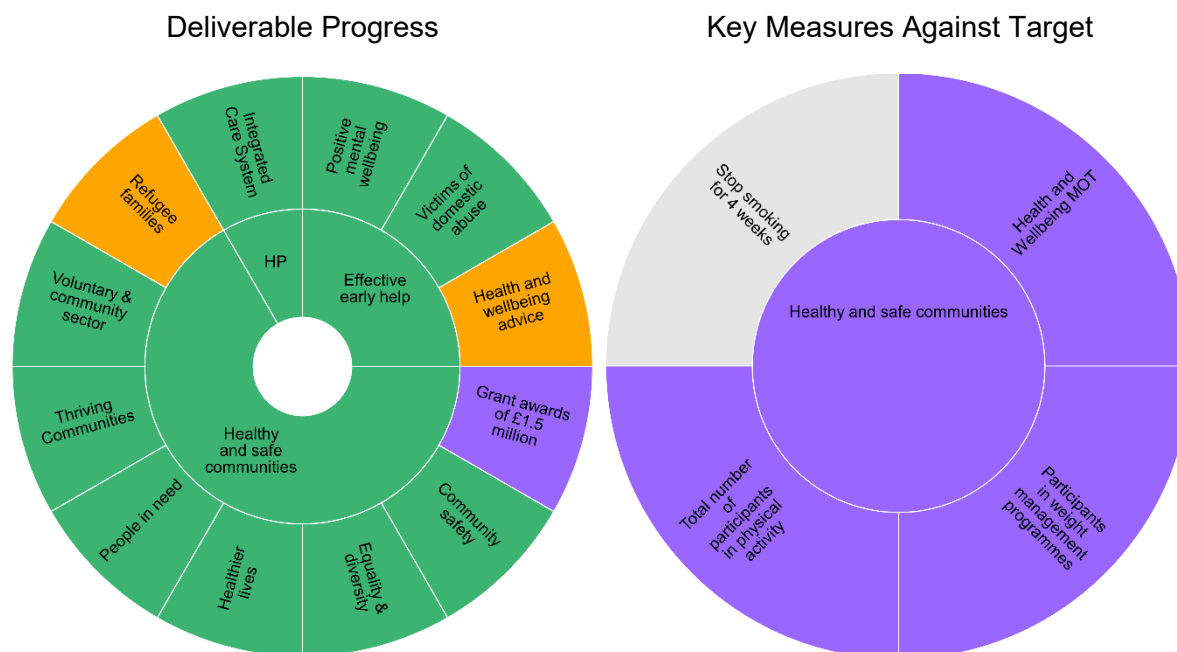
Appendix 7

order to bring the Council into a position of compliance with the Information Governors Code of Practice.

- Corporate property-Decommissioning, Dilapidations and Staff Relocations - £0.501m one-off - To decommission buildings that are closing and being disposed of.
- Corporate Property - Project Co-ordination Pool - £0.143m one-off - To add additional resource for internal projects to achieve the asset rationalisation programme.
- Corporate Property Running Costs-Inflation/Contingency - £5.663m one-off - To cover increased property running costs including utility costs.
- Legal Services-Staffing budget deficit - £0.952m ongoing - To ensure the Legal Services salaries budget meets the costs of the current structure.
- Legal Services-Child Protection - £0.850m ongoing - Jointly endorsed pressure by Legal Services and Children's Services to secure funding to cover the budget deficit for children in care proceedings.

Appendix 8

Health and Communities - Portfolio Summary



Key ★ Strong ✓ Good ◯ Review 📌 Action □ Data not available/Target not set

Progress is "good" or "strong" for the majority of the Council Plan deliverables led by the portfolio.

Key areas of success are:

- ★ **Deliver £1.5 million of grant awards to promote positive behaviours for young people and residents, improve local networks, help people to feel safer, and encourage sustainable and green activity (rated Strong)**

The Council has successfully delivered the Council's new, outcomes-based funding programme for the sector and this will continue through the Funding Framework and Prospectus over the 2023-24 period. This includes investing in local people and communities to create opportunities for them to adapt, develop and grow.

- ✓ **Implement key actions to reduce discrimination and tackle inequalities as set out in the Council's Equality, Diversity and Inclusion Strategy 2022-25 (rated Good)**

Work is nearing completion on end of year performance reports to support the publication of information on progress against the Council's equality objectives as required under the public sector equality duty.

- ✓ **Provide support to people and communities in need, including financial help from our Discretionary Fund and other activities that**

Appendix 8

promote financial inclusion and tackle cost of living pressures (rated Good)

The Council continues to respond to very high demand for Emergency Cash Payments. These include applications for the Household Support Fund.

Contact with clients to maximise their benefit income via the Welfare Rights Service continues to remain at high volumes. The Advice line, Adult Care referrals, and Macmillan referrals all continue the high demand trend from last year.

✔ Work with partners to enable people to lead healthier lives by supporting people to take part in physical activity, to stop smoking and manage their weight (rated Good)

In Quarter 1 all Live Life Better Derbyshire (LLBD) services are above target and there is a high demand for LLBD services. The procurement process is underway to procure vapes as part of the smoking cessation standard treatment programme. The Move 4 Wellbeing pathway has increased access to the LLBD physical activity programme through providing access for people with low to moderate emotional wellbeing.

✔ Work in partnership with the NHS to implement the Integrated Care Strategy to benefit the health and wellbeing of the people of Derbyshire, tackle health inequalities and demonstrate a move towards more preventative interventions and investment (rated Good)

In Quarter 1, an interim Joint Strategic Needs Assessment (JSNA) has been published to fulfil our statutory function whilst the transformation of our JSNA approach is underway. Phase 2 for 2023-24 of the JSNA transformation is on track for delivery. Work has begun on the Integrated Care Strategy, County Place Partnership Board and the Health and Wellbeing strategy for Derbyshire.

✔ Work with partners to promote positive mental wellbeing and improve support for local people, with a particular focus on children and young people and suicide prevention (rated Good)

Mental Health Awareness week took place between 15 - 21 May 2023. The mental health and suicide prevention team supported the Think Fest which was held on 20 May 2023 as Derbyshire's first ever festival to get people thinking and talking about mental health. The event was held in Chesterfield and saw thousands of people attend.

Appendix 8

Key areas for consideration are:

🟡 Work with partners to welcome refugee families into Derbyshire and developed a countywide response to the implementation of asylum dispersal (requires Review)

Issue: The provision of accommodation and support for those seeking asylum is becoming a significant issue, the funding available for central government support is being passported to Districts and Borough Councils. **Response:** A new burdens assessment was expected to be completed by early 2023-24, but this has been delayed. As there is currently no national funding available to upper tier authorities, the Council currently has no resource to develop a countywide response and therefore this action has been delayed.

🟡 Deliver health and wellbeing advice and coaching to prevent, reduce and delay the need for adult social care services (requires Review)

Issue: Due to recent changes in data collection the total number of shared agreements being supported cannot currently be reported on. **Response:** Working with the Departmental Management Information Team to create a new data capture report.

There is a forecast underspend of £0.613m on the portfolio.

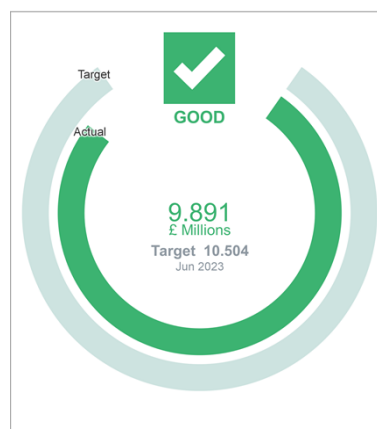
The main variances are:

- ✅ Registrars, £0.074m underspend - Increased income from weddings following the easing of the Covid restrictions is still being received and a price increase of 10% for 2023-24 has been applied.

One ceremony room, that was previously closed due to health and safety, has been decorated and reopened for business so could generate additional income. Staffing levels have been adjusted to meet the manning needed for the new opening hours. The Bakewell registry office is due to have some free publicity which may increase bookings and therefore overall income levels.

- ✅ Trading Standards, £0.195m underspend - Vacancies.
- ✅ Public Health - Prevention, £0.239m underspend - Contributions from the Public Health Contain Outbreak Management Fund grant and some expenditure has been recharged to the Household Support Fund.

Forecast Outturn against Target Budget

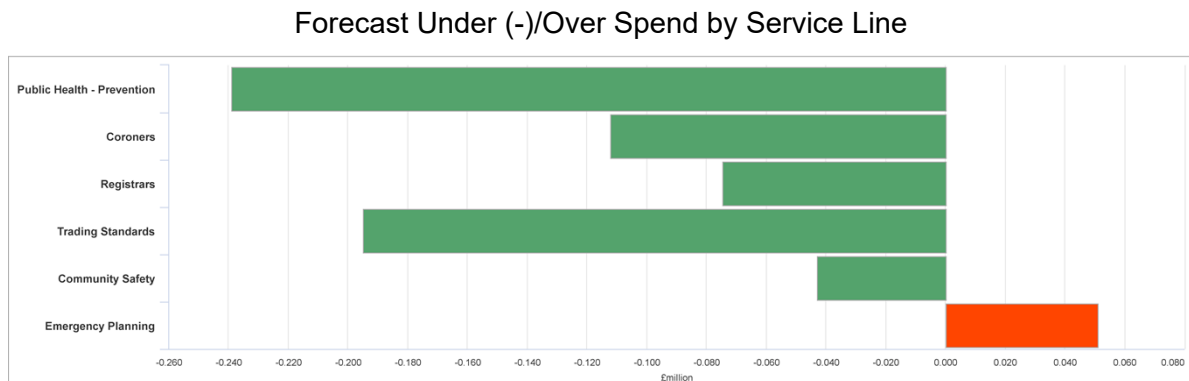


Appendix 8

- ✓ Coroners, £0.112m underspend - Vacancies which are being recruited to. The Senior Coroner has retired, with the Area Coroner acting up until an appointment is made; this results in less overall salary costs.

Further on-going costs relating to a complex prosecution case have been forecast, although these are anticipated to be less than the previous year.

- ✓ Community Safety, £0.043m underspend - Mainly staffing vacancies and a small underspend on the one-off projects budget.



Additional funding has been provided in the 2023-24 budget for the main growth items:

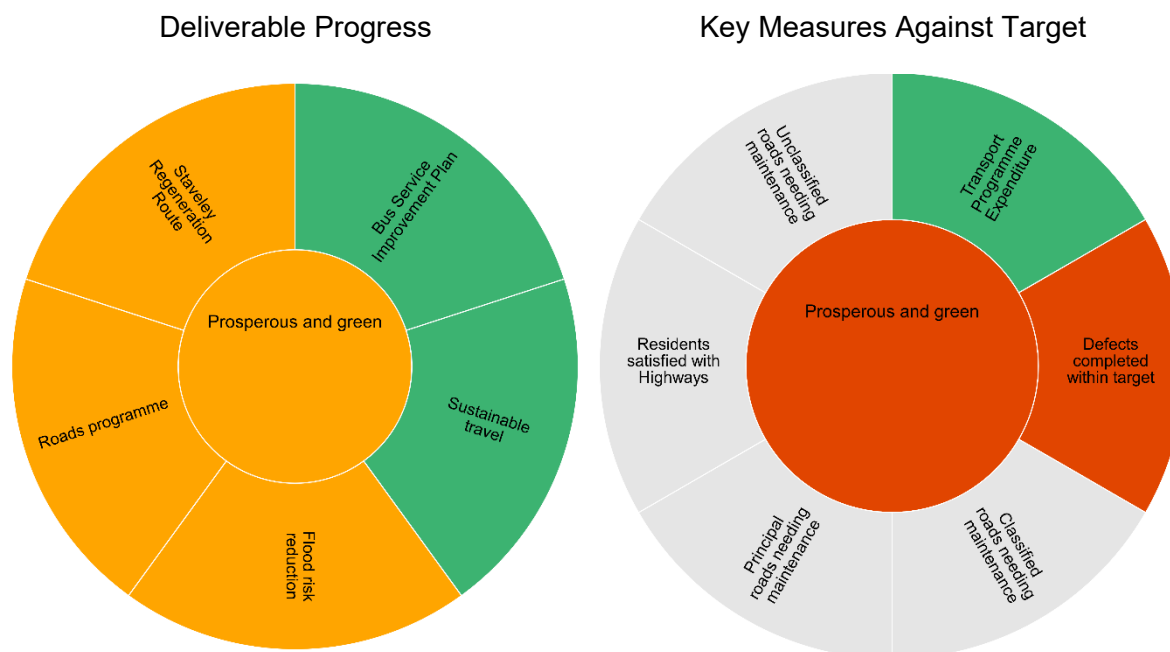
- Trading Standards - £0.046m one-off - New APP/Flare Database due to current system coming to the end of its contract.

Other significant additional items of funding that the portfolio is expected to receive or has received since the budget was set are:

- Public Health - £11.137m one-off – Public Health Contain Outbreak Management Fund (COMF) Grant income with an end date of 30th September 2024.
- Prevention Household Support Fund - £2.006m one-off - Household Support Fund now extended to 31st March 2024.

Appendix 9

Highways Assets and Transport - Portfolio Summary



Key ★ Strong ✓ Good ◯ Review 📌 Action □ Data not available/Target not set

Progress is "good" for 2 out of the 5 Council Plan deliverables led by the portfolio.

Key areas of success are:

- ✓ **Develop and deliver a strategic approach to sustainable travel and transport across the county, including the promotion of cycling and walking.**

Walking, Wheeling and Cycling

The Council has now begun to develop a series of local active travel (walking and cycling) plans for market towns which will be the primary focus of the next 12 months, this will look at opportunities required to ensure increases in walking, wheeling and cycling of Derbyshire's residents and visitors.

Continued development of the Key Cycle Network

The Public/Stakeholder Engagement Report for the joint Derby, Derbyshire, Nottingham and Nottinghamshire draft Local Cycling and Walking Infrastructure Plan (LCWIP) is currently being finalised.

Low Emission Vehicles Infrastructure (LEVI) Programme

The implementation of the LEVI Strategy is gaining pace with three key workstreams identified: On Street Residential, Destination Charging and Private Charging.

Appendix 9

A pilot project is underway for increasing the use of the Council owned electric fleet by providing suitable infrastructure (charge points) to facilitate pool EV vehicles.

Rural Mobility Fund - Moving Together

The project is underway with Cenex, appointed to deliver on behalf of the Council. A significant milestone has been achieved with the successful launch of the online platform, known as Moving Together, in July 2023. The next steps involve evaluating the impact of community engagement on both traffic to the Moving Together platform and the uptake of operator offers. This assessment will provide insights into the effectiveness and utilisation of the platform, contributing to the project's overall objectives.

Rail Travel

Despite the ongoing round of industrial action, which has seriously impacted services during 2022-23, work has continued to improve facilities at stations across Derbyshire. This includes new electronic travel planning and real time information signage at a number of stations along the Derwent Valley and Hope Valley lines. Following significant lobbying by the Council and other stakeholders, East Midlands Railway has reintroduced hourly direct rail services along the Derwent Valley line from Matlock to Nottingham.

✔ Deliver a £47 million Bus Service Improvement Plan in Partnership with Derbyshire bus operators and establish a 3-year programme to develop and improve bus frequency, connectivity, usage and affordability.

During Quarter 1 enhancements have been made to 17 bus services including extended days/hours of operation and/or increased frequency. Unlimited travel on all bus services within Derbyshire has been facilitated through the introduction of a new bus only Wayfarer smart card tickets for 1 day and 7 day travel for an adult or child and 1 day Group travel for 2 adults and up to three children. Additional electronic Real Time Information displays at bus stops have been installed which now take the number in Derbyshire up to 230 with more planned.

Key areas for consideration are:

🔴 Complete the delivery of a £120 million 3-year Local Transport Programme (LTP) to provide well managed roads and highways and address road safety concerns (requires Review)

Issue: While the overall LTP is on track, it is acknowledged that the repair of road defects has declined recently, with 52.9% of defects being repaired within target timescales against a target of 90%. This has been accelerated by the current adverse and unpredictable weather conditions which has impacted on the rate of repair as the treatment required exceeds the budget available.

Appendix 9

Response: In order to address this, a proactive resurfacing program is being sought to plan responsive action to be able to maintain the roads effectively.

Reduce the level of Flood Risk to the residents and businesses of Derbyshire through our planning role, the delivery of flood mitigation schemes and working with communities to support and develop flood resilience measures (requires Review)

Issue: Planning consultations are an ongoing challenge in respect of responding to them within the required period despite support from two agency engineers, this has a further impact with respect to flood mitigation scheme delivery, which also remains challenging with the current number of full time employees. **Response:** One vacancy has been potentially recruited to, there is another vacancy that will be advertised. Two agency engineers continue to support the team.

Submit the planning application and continue to progress proposals for the Chesterfield to Staveley Regeneration Route, which will create new homes and jobs for the area (requires Review)

Issue: Proposed public engagement is currently paused pending Government approval of the Outline Business Case. **Response:** Active engagement with Government over the Outline Business Case is ongoing, with a full response to clarification questions submitted on 14 July 2023. Response to the submission on 14 July 2023 is awaited.

There is a forecast overspend of £9.354m on the portfolio after the use of £2.091m of departmental earmarked reserves, including the Winter Maintenance reserve and £2.725m from the Corporate Contingency budget for inflationary pressures. The main variances are:

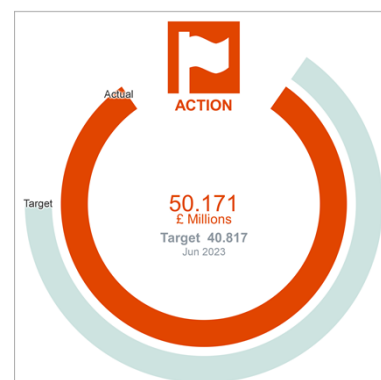
✔ Public and Community Transport, £0.597m underspend - Due to additional Local Transport Funding (LTF) being received in year and some staffing costs being funded from the Bus Service Improvement Programme (BSIP) Funding.

✘ Highways Administration/Hub, £0.289m overspend - Increased staff costs to cover backlogs in Traffic Regulation Orders (TROs).

✘ Highways Design and Land Reclamation, £0.992m overspend - Under recovery on capital salary and an increase in agency costs.









✘ Construction Services, £1.512m overspend - Staff costs anticipated to be chargeable to capital budgets are lower than budgeted and more general

Forecast Outturn against Target Budget



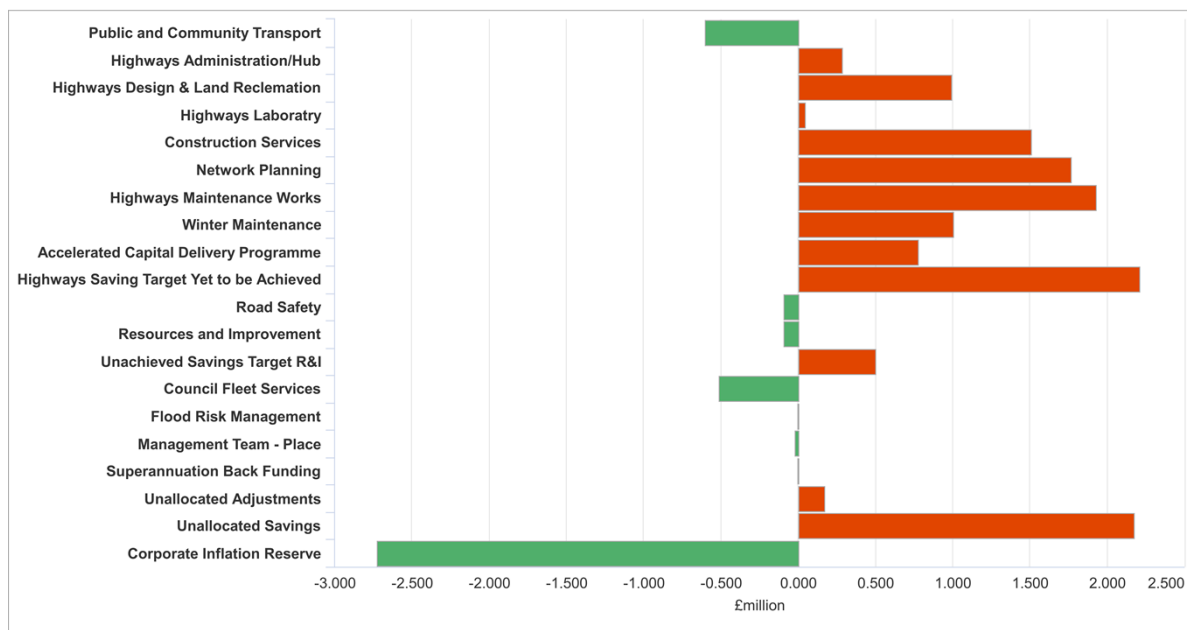
Appendix 9

under-recovery from highways works. £0.230m of utility related inflation costs are included in this forecast.

-  Network Planning, £1.771m overspend – Unfunded implementation of the new Alloy and Kaarbontech systems that are being introduced this year.
-  Highways Maintenance Works, £1.933m overspend - Increased reactive maintenance works being carried out.
-  Winter Maintenance, £1.005m overspend - The budget for is only sufficient to cover a mild winter season, the current prediction is based on the average costs for the last five years. The balance of £0.280m available in the Winter Maintenance Reserve will be fully drawn to offset some of this overspend.
-  Accelerated Capital Delivery, £0.776m overspend - Unfunded staffing costs associated with the delivery of the Manifesto Pledge of a £120m capital delivery programme.
-  Highways Savings Yet to be Achieved, £2.212m overspend – Savings targets relating to initiatives previously identified but not yet achieved.
-  Unachieved Resources and Improvement Saving, £0.503m overspend - A historic staff savings target which due to recent restructuring cannot now be fully achieved due to a reduction in the size of the departmental establishment.
-  Council Fleet Services, £0.513m underspend - Income received in relation to the Police Contract is higher than budgeted.
-  Unallocated Savings, £2.175m overspend - Savings allocated to the departmental budget for which there are no identified initiatives to enable them to be achieved.

Appendix 9

Forecast Under (-)/Over Spend by Service Line



The budget savings target for 2023-24 is £0.500m, with a further £3.671m target brought forward from previous years. None of the in-year savings target is forecast to be achieved. Action is being taken to ensure that the savings brought forward from previous years will be achieved before the end of the financial year.

Additional funding has been provided in the 2023-24 budget for the main growth items:

- Highways Reactive Maintenance - £2.500m one-off - As the highways authority the Council has a statutory duty to maintain highways. Reactive maintenance is necessary whereby the Council is required to attend to defects on the highways and in response to emergency situations such as flooding. The Highway Service operates an out-of-hours service and is developing procedures to allow quicker response times. Neglecting this duty can lead to claims against the Council for damages. The current delivery model is being reviewed under the ongoing work in the Derbyshire Highways Programme.
- Highways - £2.000m ongoing - Current budgets within the Highways Service were set on an historic organisational structure with an income target that is not achievable with the current level of staff resource, this will allow the service to set a realistic capital recharge recovery rate.
- Bus Companion Service - £0.150m ongoing - Introducing a Companion Service will allow a discretionary service to provide free travel to a family member or carer when they accompany Gold Card holders.

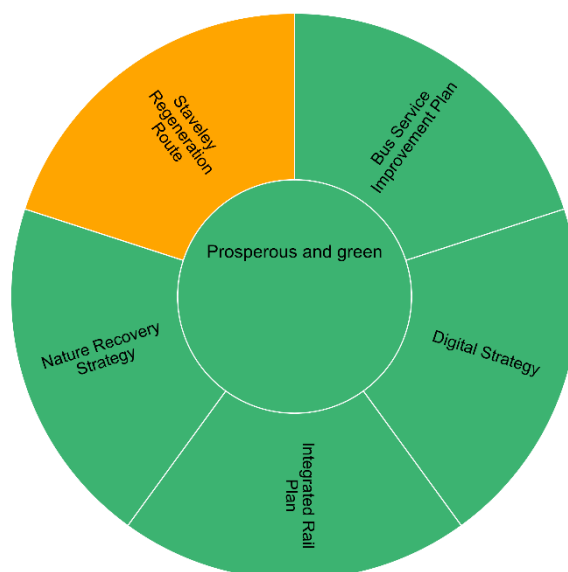
Appendix 9

- Commercial Services - £1.000m ongoing - Most of the local bus services in Derbyshire are run commercially. Providers have withdrawn from certain routes as they are no longer commercially viable. Where there isn't a commercial case to operate specific local bus routes across Derbyshire, then the Council – in its role as Local Transport Authority (LTA) – can consider funding a service to maintain services where there is a social, economic, and environmental need for them to operate key routes in the county.
- Restructure of Intergrated Transport Unit - £0.200m ongoing - A service restructure is required to ensure adequate resources are in place to meet current and future demands and challenges, improve service delivery and efficiency, meet the Council's statutory duties, and administer the Bus Services Improvement Plan (BSIP) grant.
- Management Team Restructure - £0.325m ongoing, £0.325m one-off - The new departmental strategy for Place outlines a new approach and the need for additional investment in senior management. This will fund the restructure that took place in 2022 and help the department in the delivery of Council Plan Objectives.
- Inflation on Reactive Maintenance - £1.025m one-off - held in the inflation contingency for price increases of construction materials needed to maintain the highway.
- Inflation on Tendered Network - £1.700m one-off - held in the inflation contingency for increases to contract prices associated with the supporting the local bus network.

Appendix 10

Infrastructure and Environment - Portfolio Summary

Deliverable Progress



Key ★ Strong ✓ Good ◯ Review 📌 Action ■ Data not available/Target not set

Progress is "good" for the majority of the Council Plan deliverables led by the portfolio.

Key areas of success are:

- ✓ **Deliver a £47 million Bus Service Improvement Plan in Partnership with Derbyshire bus operators and establish a 3-year programme to develop and improve bus frequency, connectivity, usage and affordability.**

During Quarter 1 enhancements have been made to 17 bus services including extended days/hours of operation and/or increased frequency. Unlimited travel on all bus services within Derbyshire has been facilitated through the introduction of a new bus only Wayfarer smart card tickets for 1 day and 7 day travel for an adult or child and 1 day Group travel for 2 adults and up to three children. Additional electronic Real Time Information displays at bus stops have been installed which now take the number in Derbyshire up to 230 with more planned.

- ✓ **Develop the Local Nature Recovery Strategy for Derbyshire in accordance with the Environment Act 2021, for the coordinated benefit of Derbyshire's natural environment**

The Council now has its funding settlement from the Department for Environment, Food & Rural Affairs. Initial meetings have been organised with the bodies that will be on the Board and on the 'supporting authorities' group.

Appendix 10

The formal inaugural meetings of these groups will take place in September 2023. A launch event for the broadest set of stakeholders possible is to be organised for November 2023.

✔ **Finalise and implement a new Digital Strategy for Derbyshire, including support for the roll out of gigabit technology**

The new Digital Strategy for Derbyshire is still being finalised following a recent run of stakeholder workshops. The Strategy is expected to be finalised by the end of July 2023 and will be considered by Cabinet Members in the autumn. The Government is yet to confirm its programme of gigabit support; once received the decision will help ensure that the remaining 3% of properties have access to superfast broadband.

✔ **Work with partners to finalise the regional response to the Integrated Rail Plan, including a refreshed HS2 Growth Strategy, an action plan to prepare for Midland Mainline electrification and implementation of Restoring Your Railways programme**

A new study into how to improve rail connectivity and capacity, building on the Integrated Rail Plan (IRP) commitment for the HS2 East Regions has been agreed between regional mayors and council leaders from across the North and Midlands. The report identifies how their cities, towns and communities can be better connected by rail, at a reduced cost compared to the original HS2 proposals for the east of the country. The report, identifies a three-phase process to deliver better connections between the North East, Leeds, Sheffield, the East Midlands, Birmingham and London.

During Quarter 1, regular meetings have been held with the Network Rail team delivering Midlands Mainline Electrification and will lead into regular collaboration and engagement on preliminary design considerations for the Midland Mainline in Derbyshire from autumn 2023.

Transport for the East Midlands has launched a prospectus - Full Speed Ahead: Bringing high-speed rail to the East Midlands. It explains the importance of HS2 reaching the East Midlands and Sheffield, as presented in the IRP.

Key areas for consideration are:

🟡 **Submit the planning application and continue to progress proposals for the Chesterfield to Staveley Regeneration Route, which will create new homes and jobs for the area (requires Review)**

Issue: Proposed public engagement is currently paused pending Government approval of the Outline Business Case. **Response:** Active engagement with Government over the Outline Business Case is ongoing, with a full response

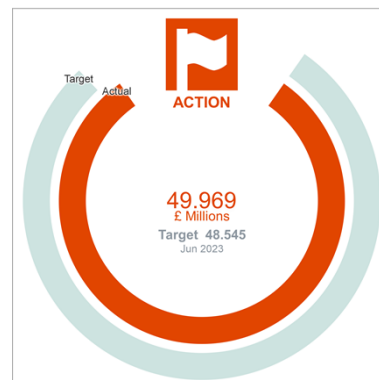
Appendix 10

to clarification questions submitted on 14 July 2023. Response to the submission on 14 July 2023 is awaited.

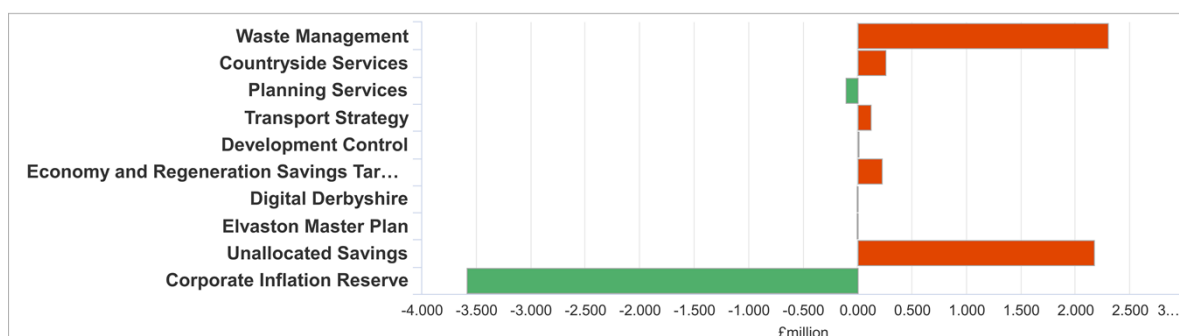
There is a forecast overspend of £1.424m on the portfolio after the use of £3.583m from the Corporate Contingency budget for inflationary pressures. The main variances are:

- Waste Management, £2.303m overspend - Due to inflation, offset by an estimated 6% annual decrease in tonnages. The contracts that are in place for waste disposal and treatment contain binding indexation mechanisms uplift costs by general inflation. £3.583m of Corporate Contingency budget will be used to mitigate the inflationary pressures.
- Countryside Services, £0.264m overspend – Historic savings target allocated for car parking income, but not yet fully achieved. Also, a staffing overspend.
- Economy and Regeneration Savings Target, £0.231m overspend - A staffing restructure is currently out for consultation. If progressed, savings of around £0.232m are expected to be made in 2023-24 with additional savings being achieved in 2024-25 when the full year impact can be realised.
- Unallocated Savings, £2.175m overspend - Savings allocated to the portfolio but not yet allocated to specific areas.

Forecast Outturn against Target Budget



Forecast Under (-)/Over Spend by Service Line



The budget savings target for 2023-24 is £0.700m, with a further £2.767m target brought forward from previous years. £0.100m of the in-year savings target of £0.700 will be achieved. Action is being taken to ensure that the savings brought forward from previous years will be achieved before the end of the financial year.

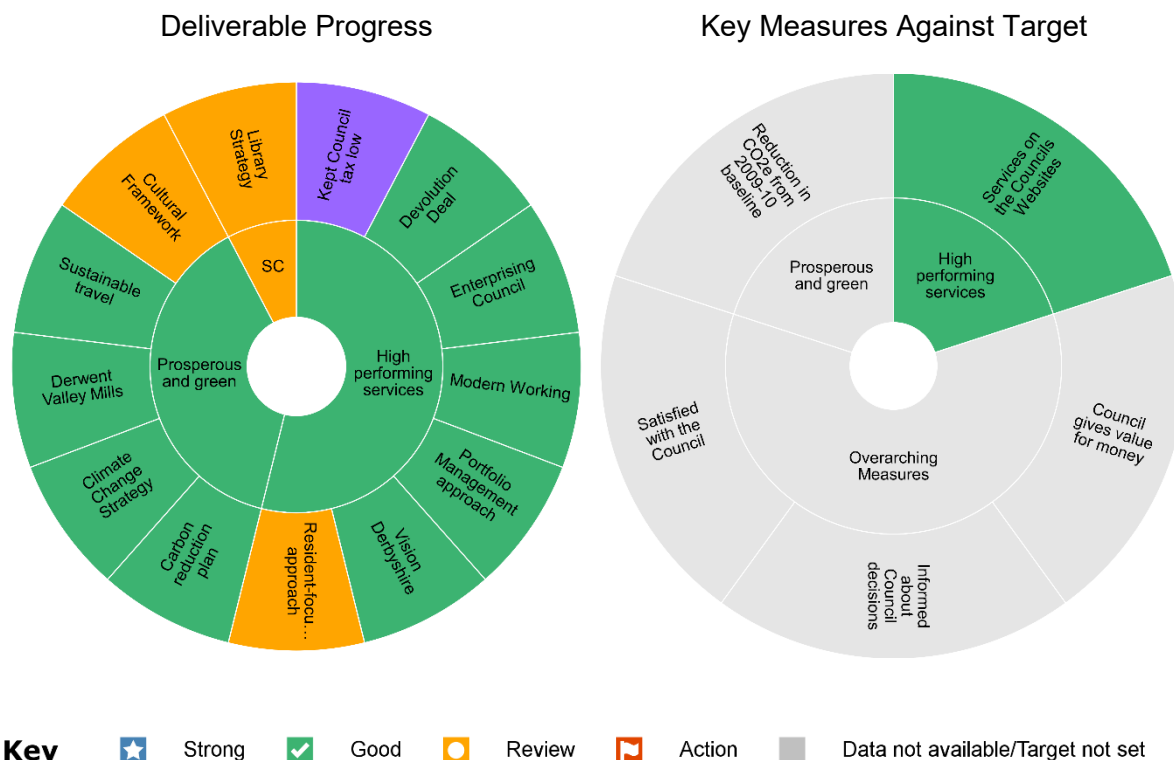
Appendix 10

Additional funding has been provided in the 2023-24 budget for the main growth items:

- Elvaston Master Plan - £0.050m ongoing, £0.340m one-off - The Elvaston Masterplan requires targeted activity to develop and test the business case for the Masterplan. This will help ensure sustainable and commercially viable solutions are secured for the estate.
- Grounds Maintenance - £0.365m ongoing - The Countryside Service has developed a business plan which establishes the service's strategy and operational priorities over the medium term. Funding is required to enable a bespoke grounds maintenance schedule of work and appropriate allocation of resources across the Council's 123 countryside sites.
- Ash Die Back - £0.450m one-off - The Council is undertaking a step change in tree-planting across the county driven by its Climate Change Strategy, Nature Recovery Motion, and its anticipated role as responsible authority for the Derbyshire Local Nature Recovery Strategy. The target is to facilitate the planting of up to one million trees by 2030, and to ensure delivery of the Council's Ash Die Back Action Plan.
- Inflation on Waste Management - £3.583m one-off - held in the inflation contingency for increases to contract prices associated with the disposal and treatment of waste.

Appendix 11

Strategic Leadership, Culture, Tourism and Climate Change - Portfolio Summary



Progress is "good" or "strong" for the majority of the Council Plan deliverables led by the portfolio.

Key areas of success are:

- ✓ **Work with partners and central government to deliver an East Midlands Combined County Authority (EMCCA) and devolution deal to create jobs opportunities for training, improve the local economy, transport, and housing, and accelerate our route to reduce emissions to net zero (rated Good)**

Following on from consultation on devolution deal proposals, all four councils have approved proposals that are now with Government. Preparations and engagement with stakeholders continues ready for the anticipated passage of the Levelling Up and Regeneration Bill through Parliament, which is expected to be delayed until the autumn.

- ★ **Kept Council Tax within the lowest 25% of County Council areas and lobbied government to secure a better funding settlement (rated Strong)**

The 2023-24 Council Tax increase of 3.75% was amongst the lowest increases compared to other similar authorities.

Appendix 11

✔ Continue to deliver the Climate Change Strategy and Action Plan which sets out priorities to reduce the county's greenhouse gas emissions (rated Good)

The first annual review of progress showed that the delivery of the Strategy had a strong first year, with the majority of actions being on track to meet or exceed the desired outcomes, and action being taken to address any risks to delivery of any targets and actions currently not on track.

Key areas for consideration are:

🟡 Refresh and implement our Library Strategy to ensure a modern, efficient and improved service (requires Review)

Issue: Further to the relaunch of the former library strategy in September 2021 there has been a no further response from community groups. Discussions will be held with Cabinet in Autumn 2023 therefore this action is rated review until the outcome of the discussions is known. **Response:** A new approach is being developed to refresh the Library Strategy. Discussions will include proposals on optimum approach for addressing issues for the mobile library service.

🟡 Support a resident-focused approach through a range of mechanisms to improve access to online services and customer service performance including implementing a complaints and feedback system (requires Review)

Issue: Progress is delayed whilst timescales for development are currently being reviewed. **Response:** Priorities are being reviewed with departments in terms of resources available for the agreed roadmap.

🟡 Deliver the Derbyshire Cultural Framework to support the creative and cultural sectors to become more resilient, grow and capitalise on new opportunities (requires Review)

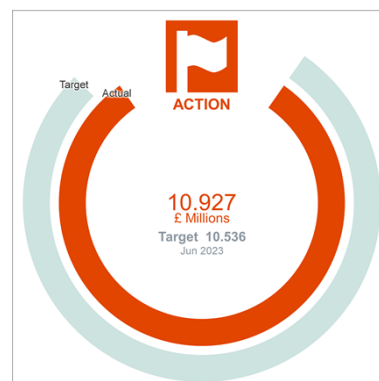
Issue: Good progress has been made on some aspects of the delivery of the Framework but other aspects have been delayed. **Response:** Proposals for the grants team have been developed and upon completion of the restructure will be implemented at pace. An offer of £0.780m from Arts Council England towards delivery of Derbyshire Makes/Derbyshire Festival of Making has been made and the Council is seeking approval for up to eight direct grant awards to support the delivery of Derbyshire Makes. This will be delivered by the existing Economic development officers whilst the proposals for the new restructure are finalised and agreed.

Appendix 11

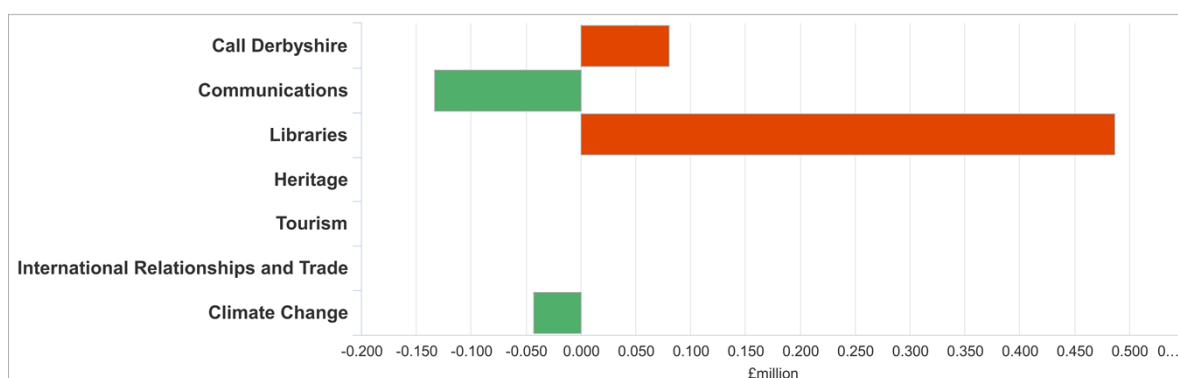
There is a forecast overspend of £0.391m on the portfolio. The main variances are:

- ✓ Communications, £0.132m underspend - A reduction in publicity expenditure.
- Call Derbyshire, £0.079m overspend – The Granicus licence fee is unfunded.
- Libraries, £0.487m overspend - £0.218m related to property inflation. The balance is due to unachieved savings targets.

Forecast Outturn against Target Budget



Forecast Under (-)/Over Spend by Service Line



There is no budget savings target for 2023-24. A £0.521m target has been brought forward from previous years.

Additional funding has been provided in the 2023-24 budget for the main growth items:

- Business Change - £0.180m one-off - Aligned to the creation of the new Transformation and Strategy Division, this funding will enable the training for employees in Prince2, MSP and MoP to equip them with the right skills to ensure the Council delivers projects efficiently and successfully.

Vision Derbyshire - £0.087m ongoing - The funding is to support the ongoing implementation of the Vision Derbyshire approach in particular the funding of the programme team.